Table Extraction and Understanding for Scientific and Enterprise Applications



Doug Burdick



Alexandre V Evfimievski



Nancy Wang



Yannis Katsis



Marina Danilevsky

IBM Research - Almaden

Outline

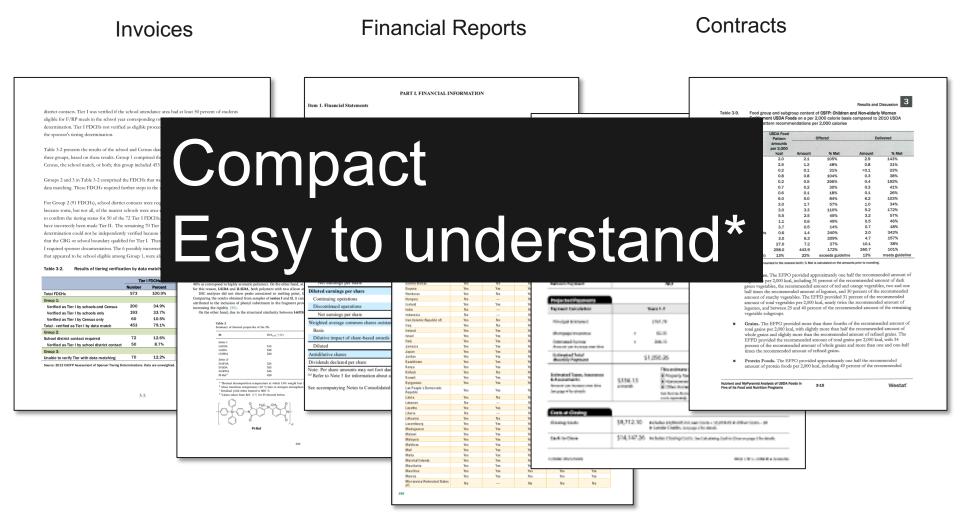
- Introduction
 - Problem Definition
 - -Challenges
 - -Takeaways
- Table Identification
- Table Understanding
- Conclusion

Introduction

Introduction Outline

- Problem definition
 - Table Extraction
 - -Table Understanding
- Challenges
 - -Limited document format support for table structure
 - Table variety
- Takeaways

Tables are popular data representation



Government Reports

Scientific Papers



End-to-end example

Table Extraction: Identify table location and structure

Table Understanding: Provide semantic context to table values

- What does the value 672 in the following table mean?
- Answer: Net earnings for three months ended July 29th, 2017 was \$672 million USD

Steps:

- 1) Find location of table on page
- 2) Find cells in column containing "672"
- 3) Find cells in row corresponding to "672"
- 4) Identify aligned row / column header cells
- 5) Normalize using additional context from table



Table Extraction: Problem Definition

Expenses

	Expen	se (\$ in thou	usands)	CASM (cents)			
	Three m	onths ended	Sept. 30	Three months ended Sept. 30			
	2015	2014	Change	2015	2014	Change	
Aircraft fuel	206,924	286,817	(27.9%)	3.00	4.41	(32.0%)	
Airport operations	142,176	128,381	10.7%	2.06	1.98	4.0%	
Flight operations and navigational charges	126,821	112,886	12.3%	1.84	1.74	5.7%	
Sales and distribution	98,351	94,764	3.8%	1.43	1.46	(2.1%)	
Depreciation and amortization	69,739	56,620	23.2%	1.01	0.87	16.1%	
Marketing, general and administration	58,604	50,647	15.7%	0.85	0.78	9.0%	
Maintenance	62,347	48,062	29.7%	0.89	0.74	20.3%	
Inflight	47,029	43,600	7.9%	0.68	0.67	1.5%	
Aircraft leasing	40,572	43,082	(5.8%)	0.59	0.66	(10.6%)	
Employee profit share	32,974	19,039	73.2%	0.48	0.29	65.5%	
Total operating expenses	885,537	883,898	0.2%	12.83	13.60	(5.7%)	
Total, excluding fuel and profit share	645,639	578,042	11.7%	9.35	8.90	5.1%	

During the three months ended September 30, 2015, operating expenses remained flat compared to the same period in 2014. On an ASM basis, operating expenses decreased by 5.7 per cent to 12.83 cents from 13.60 cents in the same period in 2014. This decrease was driven largely by a decrease in aircraft fuel expense partially offset by the increases in our employee profit share expense, maintenance expense and depreciation and amortization expense.

Our CASM, excluding fuel and profit share, increased by 5.1 per cent, which was outside of our previously disclosed guidance of up 3.5 to 4.0 per cent, primarily as the result of lower than expected ASMs during the quarter in addition to a weaker Canadian dollar.

	Expens	e (\$ in thous	ands)	CASM (cents) Nine months ended Sept. 30			
	Nine mo	nths ended S	ept. 30				
	2015	2014	Change	2015	2014	Change	
Aircraft fuel	632,317	846,514	(25.3%)	3.10	4.41	(29.7%)	
Airport operations	412,510	377,178	9.4%	2.02	1.96	3.1%	
Flight operations and navigational charges	372,560	339,616	9.7%	1.83	1.77	3.4%	
Sales and distribution	285,304	282,598	1.0%	1.40	1.47	(4.8%)	
Depreciation and amortization	189,684	172,044	10.3%	0.93	0.90	3.3%	
Marketing, general and administration	175,108	164,030	6.8%	0.86	0.85	1.2%	
Maintenance	171,599	151,612	13.2%	0.84	0.79	6.3%	
Inflight	150,098	130,410	15.1%	0.74	0.68	8.8%	
Aircraft leasing	132,208	136,904	(3.4%)	0.65	0.71	(8.5%)	
Employee profit share	92,096	45,388	102.9%	0.46	0.24	91.7%	
Total operating expenses	2,613,484	2,646,294	(1.2%)	12.83	13.78	(6.9%)	
Total, excluding fuel and profit share	1,889,071	1,754,392	7.7%	9.27	9.13	1.5%	

During the nine months ended September 30, 2015, operating expenses decreased by 1.2 per cent to \$2,613.5 million as compared to \$2,646.3 million in the same period in 2014, primarily driven by the year-over-year decrease in aircraft fuel expense, partially offset by the increase in our employee profit share expense.

Input: Document contents in native format

- PDF
- Image
- Office Docs

_

Expenses

	Expense (\$ in thous	ands)	CASM (cents)			
	Three mo	nths ended	Sept. 30	Three months ended Sept. 30			
	2015 2014 Change 20		2015	2014	Change		
Aircraft fuel	206,924	286,817	(27.9%)	3.00	4.41	(32.0%)	
Airport operations	142,176	128,381	10.7%	2.06	1.98	4.0%	
Flight operations and navigational charges	126,821	112,886	12.3%	1.84	1.74	5.7%	
Sales and distribution	98,351	94,764	3.8%	1.43	1.46	(2.1%)	
Depreciation and amortization	69,739	56,620	23.2%	1.01	0.87	16.1%	
Marketing, general and administration	58,604	50,647	15.7%	0.85	0.78	9.0%	
Maintenance	62,347	48,062	29.7%	0.89	0.74	20.3%	
Inflight	47,029	43,600	7.9%	0.68	0.67	1.5%	
Aircraft leasing	40,572	43,082	(5.8%)	0.59	0.66	(10.6%)	
Employee profit share	32,974	19,039	73.2%	0.48	0.29	65.5%	
Total operating expenses	885,537 883,898 0.2%		0.2%	12.83	13.60	(5.7%)	
Total, excluding fuel and profit share	645,639	578,042	11.7%	9.35	8.90	5.1%	

During the three months ended September 30, 2015, operating expenses remained flat compared to the same period in 2014. On an ASM basis, operating expenses decreased by 5.7 per cent to 12.83 cents from 13.60 cents in the same period in 2014. This decrease was driven largely by a decrease in aircraft fuel expense partially offset by the increases in our employee profit share expense, maintenance expense and depreciation and amortization expense.

Our CASM, excluding fuel and profit share, increased by 5.1 per cent, which was outside of our previously disclosed guidance of up 3.5 to 4.0 per cent, primarily as the result of lower than expected ASMs during the quarter in addition to a weaker Canadian dollar.

	Expense (in thousar	nds)	CASM (cents)			
	Nine mont	hs ended So	ept. 30	Nine months ended Sept. 30			
	2015 2014 Change			2015	2014	Change	
Aircraft fuel	632,317	846,514	(25.3%)	3.10	4.41	(29.7%)	
Airport operations	412,510	377,178	9.4%	2.02	1.96	3.1%	
Flight operations and navigational charges	372,560	339,616	9.7%	1.83	1.77	3.4%	
Sales and distribution	285,304	282,598	1.0%	1.40	1.47	(4.8%)	
Depreciation and amortization	189,684	172,044	10.3%	0.93	0.90	3.3%	
Marketing, general and administration	175,108	164,030	6.8%	0.86	0.85	1.2%	
Maintenance	171,599	151,612	13.2%	0.84	0.79	6.3%	
Inflight	150,098	130,410	15.1%	0.74	0.68	8.8%	
Aircraft leasing	132,208	136,904	(3.4%)	0.65	0.71	(8.5%)	
Employee profit share	92,096	45,388	102.9%	0.46	0.24	91.7%	
Total operating expenses	2,613,484	2,646,294	(1.2%)	12.83	13.78	(6.9%)	
Total, excluding fuel and profit share	tal, excluding fuel and profit share 1,889,071 1,754,392 7.7% 9.27 9.13 1.59						

During the nine months ended September 30, 2015, operating expenses decreased by 1.2 per cent to \$2,613.5 million as compared to \$2,646.3 million in the same period in 2014, primarily driven by the year-over-year decrease in aircraft fuel expense, partially offset by the increase in our employee profit share expense.

Output: Document contents with tabular information:

- Table border for each table
- 2) Partitioning table contents into cells
- 3) Both vertical and horizontal alignment of cells

Table Understanding: Problem Definition

	Expense (\$ in thous	ands)	CASM (cents)			
	Three mo	nths ended	Sept. 30	Three months ended Sept. 30			
	2015 2014 Change 2		2015	2014	Change		
Aircraft fuel	206,924	286,817	(27.9%)	3.00	4.41	(32.0%)	
Airport operations	142,176	128,381	10.7%	2.06	1.98	4.0%	
Flight operations and navigational charges	126,821	112,886	12.3%	1.84	1.74	5.7%	
Sales and distribution	98,351	94,764	3.8%	1.43	1.46	(2.1%)	
Depreciation and amortization	69,739	56,620	23.2%	1.01	0.87	16.1%	
Marketing, general and administration	58,604	50,647	15.7%	0.85	0.78	9.0%	
Maintenance	62,347	48,062	29.7%	0.89	0.74	20.3%	
Inflight	47,029	43,600	7.9%	0.68	0.67	1.5%	
Aircraft leasing	40,572	43,082	(5.8%)	0.59	0.66	(10.6%)	
Employee profit share	32,974	19,039	73.2%	0.48	0.29	65.5%	
Total operating expenses	885,537	883,898	0.2%	12.83	13.60	(5.7%)	
Total, excluding fuel and profit share	645,639	578,042	11.7%	9.35	8.90	5.1%	



Input: Document contents with tabular information:

- 1) Table border for each table
- 2) Partitioning table contents into cells
- 3) Both vertical and horizontal alignment of cells

Output: Table content representation:

- 1) Captures semantic information
- 2) Amenable to post-processing



Table Understanding: Example

	Expense (\$ in thous	ands)	CASM (cents)			
	Three mo	nths ended	Sept. 30	Three months ended Sept. 30			
	2015 2014 Change 2			2015	2014	Change	
Aircraft fuel	206,924	286,817	(27.9%)	3.00	4.41	(32.0%)	
Airport operations	142,176	128,381	10.7%	2.06	1.98	4.0%	
Flight operations and navigational charges	126,821	112,886	12.3%	1.84	1.74	5.7%	
Sales and distribution	98,351	94,764	3.8%	1.43	1.46	(2.1%)	
Depreciation and amortization	69,739	56,620	23.2%	1.01	0.87	16.1%	
Marketing, general and administration	58,604	50,647	15.7%	0.85	0.78	9.0%	
Maintenance	62,347	48,062	29.7%	0.89	0.74	20.3%	
Inflight	47,029	43,600	7.9%	0.68	0.67	1.5%	
Aircraft leasing	40,572	43,082	(5.8%)	0.59	0.66	(10.6%)	
Employee profit share	32,974	19,039	73.2%	0.48	0.29	65.5%	
Total operating expenses	885,537	883,898	0.2%	12.83	13.60	(5.7%)	
Total, excluding fuel and profit share	645,639	578,042	11.7%	9.35	8.90	5.1%	



- 1) Table border for each table
- 2) Partitioning table contents into cells
- 3) Both vertical and horizontal alignment of cells

```
Introduction
"tables": [
    "column_headers": [
        "cell_id": "colHeader-1050-1082"
        "text": "Expenses ($ in thousands)",
        "cell_id": "colHeader-1270-1301",
        "text": "Three months ended Sept. 30",
        "cell_id": "colHeader-1544-1548",
    "row_headers": [
        "cell_id": "rowHeader-2244-2262",
        "text": "Aircraft fuel"
        "cell_id": "rowHeader-3197-3217",
        "text": "Airport operations"
        "cell_id": "rowHeader-4148-4176",
        "text": "Flight operations and navigational changes"
    "body_cells": [
        "cell_id": "bodyCell-2450-2455",
        "text": "206,924",
        "row_header_ids": [
          "rowHeader-2244-2262"
         "column_header_ids": [
          "colHeader-1050-1082"
          "colHeader-1270-1301",
          "colHeader-1544-1548"
        "cell_id": "bodyCell-5415-8945",
        "text": "142,176",
        "row_header_ids": [
          "rowHeader-3197-3217"
        "column_header_ids": [
          "colHeader-1050-1082"
          "colHeader-1270-1301",
           "colHeader-1544-1548"
```

Output: Table content representation:

- 1) Captures semantic information
- 2) Amenable to post-processing



Table Understanding: Example

	Expense (\$ in thous	ands)	CASM (cents)			
	Three mo	nths ended	Sept. 30	Three months ended Sept. 30			
	2015 2014 Change 2			2015	2014	Change	
Aircraft fuel	206,924	286,817	(27.9%)	3.00	4.41	(32.0%)	
Airport operations	142,176	128,381	10.7%	2.06	1.98	4.0%	
Flight operations and navigational charges	126,821	112,886	12.3%	1.84	1.74	5.7%	
Sales and distribution	98,351	94,764	3.8%	1.43	1.46	(2.1%)	
Depreciation and amortization	69,739	56,620	23.2%	1.01	0.87	16.1%	
Marketing, general and administration	58,604	50,647	15.7%	0.85	0.78	9.0%	
Maintenance	62,347	48,062	29.7%	0.89	0.74	20.3%	
Inflight	47,029	43,600	7.9%	0.68	0.67	1.5%	
Aircraft leasing	40,572	43,082	(5.8%)	0.59	0.66	(10.6%)	
Employee profit share	32,974	19,039	73.2%	0.48	0.29	65.5%	
Total operating expenses	885,537 883,898 0.2%		0.2%	12.83	13.60	(5.7%)	
Total, excluding fuel and profit share	645,639	578,042	11.7%	9.35	8.90	5.1%	

Value	Norm Value	Year	Time Period	Туре	Lineltem
206,924	\$206,924, 000	2015	Q3	Expense	Aircraft Fuel
286,817	\$286,817, 000	2014	Q3	Expense	Airport Fuel
142,176	\$142,176, 000	2015	Q3	Expense	Airport operations

Change	Change Normalized	Begin Time Period	End Time Period
(27.9%)	-27.9%	Q3 2014	Q3 2015
10.7%	10.7%	Q3 2014	Q3 2015

Input: Document contents with tabular information:

- 1) Table border for each table
- 2) Partitioning table contents into cells
- 3) Both vertical and horizontal alignment of cells

Output: Table content representation:

- 1) Captures semantic information
- 2) Amenable to post-processing



Outline

- Problem definition
 - Table Extraction
 - -Table Understanding
- Challenges
 - -Limited document format support for table structure
 - Table variety
- Takeaways

Challenge: Table structure representation varies across document formats

None Partial Complete

Table Understanding still required for all document types



M. Cafarella et al. "WebTables: exploring the power of tables on the web". VLDB '08



Z. Chen et al. "Spreadsheet Property Detection With Rule-assisted Active Learning". CIKM '17



H. Dong et al. "TableSense: Spreadsheet Table Detection with Convolutional Neural Networks". AAAI '19

HTML completely represents table structure

None Partial Complete



Expense

	Expense	(\$ in thous	ands)	CASM (cents)			
	Three months ended Sept. 30			Three months ended Sept. 36			
	2015	2014	Change	2015	2014	Change	
Aircraft fuel	206,924	286,817	(27.9%)	3.00	4.41	(32.0%)	
Airport operations	142,176	128,381	10.7%	2.06	1.98	4.0%	
Flight operations and navigational charges	126,821	112,886	12.3%	1.84	1.74	5.7%	
Sales and distribution	98,351	94,764	3.8%	1.43	1.46	(2.1%)	
Depreciation and amortization	69,739	56,620	23.2%	1.01	0.87	16.1%	
Marketing, general and administration	58,604	50,647	15.7%	0.85	0.78	9.0%	
Maintenance	62,347	48,062	29.7%	0.89	0.74	20.3%	
Inflight	47,029	43,600	7.9%	0.68	0.67	1.5%	
Aircraft leasing	40,572	43,082	(5.8%)	0.59	0.66	(10.6%)	
Employee profit share	32,974	19,039	73.2%	0.48	0.29	65.5%	
Total operating expenses	885,537	883,898	0.2%	12.83	13.60	(5.7%)	
Total, excluding fuel and profit share	645,639	578,042	11.7%	9.35	8.90	5.1%	

During the three months ended September 20, 2015, operating expenses remained flat compared to the same period in 2014. On an ASM basis, operating operating excenses day 5.7 per cent to 1.2.8 cents from 13.30 cents in the same period in 2014. This decrease was driven largely by a decrease in aircraft fuel expense partially offset by the increases in our employee profit share expense, maintenance expense and depreciation and amortization expense.

Our CASM, excluding fuel and profit share, increased by 5.1 per cent, which was outside of our previously disclosed guidance of up 3.5 to 4.0 per cent, primarily as the result of lower than expected ASMs during the quarter in addition to a weaker Canadian follows:

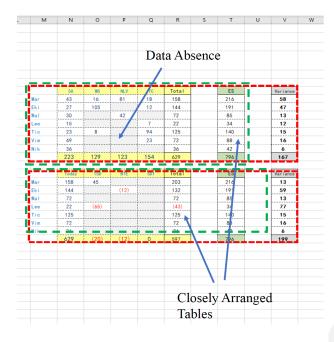
	Expense (CASM (cents)				
	Nine mont	ept. 30	Nine months ended Sept. 30			
	2015	2014	Change	2015	2014	Change
Aircraft fuel	632,317	846,514	(25.3%)	3.10	4.41	(29.7%)
Airport operations	412,510	377,178	9.4%	2.02	1.96	3.1%
Flight operations and navigational charges	372,560	339,616	9.7%	1.83	1.77	3.4%
Sales and distribution	285,304	282,598	1.0%	1.40	1.47	(4.8%)
Depreciation and amortization	189,684	172,044	10.3%	0.93	0.90	3.3%
Marketing, general and administration	175,108	164,030	6.8%	0.86	0.85	1.2%
Maintenance	171,599	151,612	13.2%	0.84	0.79	6.3%
Inflight	150,098	130,410	15.1%	0.74	0.68	8.8%
Aircraft leasing	132,208	136,904	(3.4%)	0.65	0.71	(8.5%)
Employee profit share	92,096	45,388	102.9%	0.46	0.24	91.7%
Total operating expenses	2,613,484	2,646,294	(1.2%)	12.83	13.78	(6.9%)
Total, excluding fuel and profit share	1.889.071	1.754.392	7.7%	9.27	9.13	1.5%

During the nine months ended September 30, 2015, operating expenses decreased by 1.2 per cent to \$2,613.5 million as compared to \$2,664.3 million in the same period in 2017, primarily driven by the year-over-year decrease in aircraft fuel expense, partially offset by the increase in our employee profit share expense.

Table structure representation varies across Excel documents

None Partial Complete

MS Excel



Multiple tables defined in single sheet

		2017 1			2018		Percent change* in real median income	
Characteristic	Number	Median i		Number	Median (doll		(2018 le	ss 2017)
	(thousands)	Estimate	Margin of error ² (±)		Estimate	Margin of error ² (±)	Estimate	Margin of error ² (±)
HOUSEHOLDS								
All Households	127,669	62,626	542	128,579	63,179	691	0.9	1.06
Type of Household								
Family households	83,523	79,693	884	83,482	80,663	664	*1.2	1.14
Married-couple	61,869	93,556	863	61,959	93,654	1,125	0.1	1.16
Female householder, no spouse present	15,303	42,669	862	15,043	45,128	1,116	*5.8	3.00
Male householder, no spouse present	6,351	59,636	2,072	6,480	61,518	1,246	3.2	3.90
Nonfamily households	44,146	37,229	512	45,096	38,122	825	*2.4	2.38
Female householder	23,316	31,915	593	23,515	32,007	667	0.3	2.53
Male householder	20,830	43,843	1,680	21,582	45,754	868	*4.4	3.98
Race ⁸ and Hispanic Origin of Householder								
White	100,113	66,413	862	100,528	66,943	646	0.8	1.25
White, not Hispanic	84,706	69,851	1,136	84,727	70,642	652	1.1	1.57
Black	17,019	40,324	1,430	17,167	41,361	906	2.6	3.67
Asian	6,750	83,376	1,822	6,981	87,194	2,805	*4.6	3.66
Hispanic (any race)	17,336	51,389	776	17,758	51,450	735	0.1	1.83
Age of Householder								
Under 65 years	94,703	70,944	1,018	94,423	71,659	573	1.0	1.40
15 to 24 years	6,223	39,901	1,663	6,199	43,531	2,689	*9.1	8.00
25 to 34 years	20,258	62,732	852	20,611	65,890	1,075	*5.0	1.95
35 to 44 years	21,609	80,768	1,893	21,370	80,743	1,071	Z	2.47
45 to 54 years	22,566	82,111	1,365	22,071	84,464	1,845	*2.9	2.43
55 to 64 years	24,047	70,576	1,603	24,172	68,951	1,444	-2.3	2.95
65 years and older	32,966	42,303	808	34,156	43,696	816	*3.3	2.48

Each sheet separate table



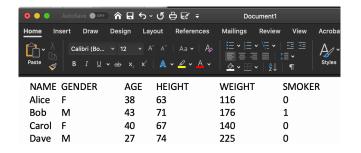
H. Dong et al. "TableSense: Spreadsheet Table Detection with Convolutional Neural Networks". AAAI '19

Z. Chen et al. "Spreadsheet Property Detection With Rule-assisted Active Learning". CIKM '17

Table structure representation varies across Word documents

None Partial Complete

MS Word



Omit Office Table Object



NAME	GENDER	AGE	HEIGHT	WEIGHT	SMOKER
Alice	F	38	63	116	0
Bob	М	43	71	176	1
Carol	F	40	67	140	0
Dave	М	27	74	225	1

Use Office Table
Object for all tables

Document formats with no native table representation

None Partial Complete

Image

TXT

PDF

		Table 1	l. (continued)	ŧ	
Sampling Location	Date	Sample Type	Radio- nuclide	Radioactivity Conc. (10-9 uCi/ml)	Z Co Gu
Frenchman, NV Flowing Well	2/20	23	3H 89Sr 90Sr 226Ra 235U 235U 238U 238Pu 239Pu	<9 <5 <4 0.26 0.36 <0.02 0.23 <0.23 <0.0	<0 <1 0 <0 <0 <0 <0
Frenchman, NV Hunts Station	2/20	23	3H 89Sr 90Sr 234U 235U 238Pu 238Pu 239Pu	<8 <6 <4 0.73 0.035 0.41 <0.05 <0.02	<0 <1 <0 <0 <0 <0
Frenchman, NV Frenchman Station	2/19	23	3H 89Sr 90Sr 226Ra 234U 235U 238Pu 238Pu 239Pu	<7 <6 <4 0.17 23 0.55 11 <0.05 <0.05	<0 <0 <1 0 0 <0 <0 <0

Image

NAME	GENDER	AGE	HEIGHT	WEIGHT	SMOKER
Alice	F	38	63	116	0
Bob	М	43	71	176	1
Carol	F	40	67	140	0
Dave	М	27	74	225	0

xpenses

	Expen	se (\$ in thou	sands)	CASM (cents)			
	Three m	onths ended	Sept. 30	Three months ended Sept.			
	2015	2014	Change	2015	2014	Change	
Aircraft fuel	206,924	286,817	(27.9%)	3.00	4.41	(32.0%)	
Airport operations	142,176	128,381	10.7%	2.06	1.98	4.0%	
Flight operations and navigational charges	126,821	112,886	12.3%	1.84	1.74	5.7%	
Sales and distribution	98,351	94,764	3.8%	1.43	1.46	(2.1%)	
Depreciation and amortization	69,739	56,620	23.2%	1.01	0.87	16.1%	
Marketing, general and administration	58,604	50,647	15.7%	0.85	0.78	9.0%	
Maintenance	62,347	48,062	29.7%	0.89	0.74	20.3%	
Inflight	47,029	43,600	7.9%	0.68	0.67	1.5%	
Aircraft leasing	40,572	43,082	(5.8%)	0.59	0.66	(10.6%)	
Employee profit share	32,974	19,039	73.2%	0.48	0.29	65.5%	
Total operating expenses	885,537	883,898	0.2%	12.83	13.60	(5.7%)	
Total, excluding fuel and profit share	645,639	578,042	11.7%	9.35	8.90	5.1%	

During the three months ended September 30, 2015, operating expenses remained flat compared to the same period in 2014. On an ASM basis, operating expenses decreased by 5.7 per cent to 12.83 cents from 13.60 cents in the same period in 2014. This decrease was driven largely by a decrease in aircraft fuel expense partially offset by the increases in our employee profit share expense, maintenance expense and depreciation and amortization expense.

Our CASM, excluding fuel and profit share, increased by 5.1 per cent, which was outside of our previously disclosed guidance of up 3.5 to 4.0 per cent, primarily as the result of lower than expected ASMs during the quarter in addition to a weaker Canadian dollar.

	Expens	e (\$ in thous	ands)	C	ASM (cents))
	Nine mo	nths ended S	ept. 30	Nine months ended Sept.		
	2015	2014	Change	2015	2014	Change
Aircraft fuel	632,317	846,514	(25.3%)	3.10	4.41	(29.7%)
Airport operations	412,510	377,178	9.4%	2.02	1.96	3.1%
Flight operations and navigational charges	372,560	339,616	9.7%	1.83	1.77	3.4%
Sales and distribution	285,304	282,598	1.0%	1.40	1.47	(4.8%)
Depreciation and amortization	189,684	172,044	10.3%	0.93	0.90	3.3%
Marketing, general and administration	175,108	164,030	6.8%	0.86	0.85	1.2%
Maintenance	171,599	151,612	13.2%	0.84	0.79	6.3%
Inflight	150,098	130,410	15.1%	0.74	0.68	8.8%
Aircraft leasing	132,208	136,904	(3.4%)	0.65	0.71	(8.5%)
Employee profit share	92,096	45,388	102.9%	0.46	0.24	91.7%
Total operating expenses	2,613,484	2,646,294	(1.2%)	12.83	13.78	(6.9%)
Total, excluding fuel and profit share	1,889,071	1,754,392	7.7%	9.27	9.13	1.5%

During the nine months ended September 30, 2015, operating expenses decreased by 1.2 per cent to \$2,613.5 million as compared to \$2,646.3 million in the same period in 2014, primarily driven by the year-over-year decrease in aircraft fuel expense, partially offset by the increase in our employee profit share expense.

On an ASM basis, operating expenses for the nine months ended September 30, 2015 decreased by 6.9 per cent to 1.28 cents from 1.37,6 cents in the same period in 2014. Included in the first half of 2014 is a fluel value added tax recoveryly for 2014 recoveryl of \$20.1 million, \$17.6 million in aircraft fuel expense and \$2.5 million in airport operations expense, related to the periods 2009 to 2015. Excluding the VAT recovery from the comparable period, CASH would have decreased by 7.6 per cent and CASH, excluding fuel and profit share would have increased by 1.3 per cent over the same period in 2014.

PDF

WestJet Third Quarter 2015

PDF Document Format

Rendered PDF

	Expen	se (\$ in thou	ısands)	CASM (cents)			
	Three months ended Sept. 30			Three mo	Sept. 30		
	2015	2014	Change	2015	2014	Change	
Aircraft fuel	206,924	286,817	(27.9%)	3.00	4.41	(32.0%)	
Airport operations	142,176	128,381	10.7%	2.06	1.98	4.0%	
Flight operations and navigational charges	126,821	112,886	12.3%	1.84	1.74	5.7%	
Sales and distribution	98,351	94,764	3.8%	1.43	1.46	(2.1%)	
Depreciation and amortization	69,739	56,620	23.2%	1.01	0.87	16.1%	
Marketing, general and administration	58,604	50,647	15.7%	0.85	0.78	9.0%	
Maintenance	62,347	48,062	29.7%	0.89	0.74	20.3%	
Inflight	47,029	43,600	7.9%	0.68	0.67	1.5%	
Aircraft leasing	40,572	43,082	(5.8%)	0.59	0.66	(10.6%)	
Employee profit share	32,974	19,039	73.2%	0.48	0.29	65.5%	
Total operating expenses	885,537	883,898	0.2%	12.83	13.60	(5.7%)	
Total, excluding fuel and profit share	645,639	578,042	11.7%	9.35	8.90	5.1%	

During the three months ended September 30, 2015, operating expenses remained flat compared to the same period in 2014. On an ASM basis, operating expenses decreased by 5.7 per cent to 12.83 cents from 13.60 cents in the same period in 2014. This decrease was driven largely by a decrease in aircraft fuel expense partially offset by the increases in our employee profit share expense, maintenance expense and depreciation and amortization expense.

Our CASM, excluding fuel and profit share, increased by 5.1 per cent, which was outside of our previously disclosed guidance of up 3.5 to 4.0 per cent, primarily as the result of lower than expected ASMs during the quarter in addition to a weaker Canadian dollar.

	Expense (\$ in thousands)			CASM (cents)			
	Nine mo	nths ended S	ept. 30	Nine months ended Sept. 30			
	2015	2014	Change	2015	2014	Change	
Aircraft fuel	632,317	846,514	(25.3%)	3.10	4.41	(29.7%)	
Airport operations	412,510	377,178	9.4%	2.02	1.96	3.1%	
Flight operations and navigational charges	372,560	339,616	9.7%	1.83	1.77	3.4%	
Sales and distribution	285,304	282,598	1.0%	1.40	1.47	(4.8%)	
Depreciation and amortization	189,684	172,044	10.3%	0.93	0.90	3.3%	
Marketing, general and administration	175,108	164,030	6.8%	0.86	0.85	1.2%	
Maintenance	171,599	151,612	13.2%	0.84	0.79	6.3%	
Inflight	150,098	130,410	15.1%	0.74	0.68	8.8%	
Aircraft leasing	132,208	136,904	(3.4%)	0.65	0.71	(8.5%)	
Employee profit share	92,096	45,388	102.9%	0.46	0.24	91.7%	
Total operating expenses	2,613,484	2,646,294	(1.2%)	12.83	13.78	(6.9%)	
Total, excluding fuel and profit share	1,889,071	1,754,392	7.7%	9.27	9.13	1.5%	

During the nine months ended September 30, 2015, operating expenses decreased by 1.2 per cent to \$2,613.5 million as compared to \$2,646.3 million in the same period in 2014, primarily driven by the year-over-year decrease in aircraft fuel expense, partially offset by the increase in our employee profit share expense.

On an ASM basis, operating expenses for the nine months ended September 30, 2015 decreased by 6.9 per cent to 12.83 cents in the same period in 2014. Included in the first half of 2014 is a fuel value added tax recovery (VAT recovery) of 320.1 million, \$17.6 million in aircraft fuel expense and \$2.5 million in airport operations expense, related to the periods 2009 to 2013. Excluding the VAT recovery from the comparable period, CASM would have decreased by 7.6 per cent and CASM, excluding fuel and proff share would have increased by 1.3 per cent over the same period in 2014.

WestJet Third Quarter 2015 | 10

PDF Binary

```
BT
                                                        Draw "m" at (503, 688) in 8.5
  0.0503 Tc
                                                        point font in color white
  8.503556 0 0 8.52 503.2795 688.92 Tm
                                                        Draw "o" at ...
  /Tc2 1 Tf
                                                        Draw "n" at ....
  [ ( m) 16 (o) 21 (n) 17 (t) 39 (h) 16 (s) 29 (
                                                        Draw "t" at ...
) 28 (e) 28 (n) 17 (d) 24 (e) 28 (d) 24 () ] TJ
                                                        Draw "h" at ...
                                                        Draw "s" at ...
  0 Tc
 ET
. . .
                                                        Draw green line segment from
                                                        (46, 776) to (242, 776)
                                                        Draw green line segment from
 46.91952 776.52 m
                                                         (242, 776) to (242, 729)
 242.04 776.52 l
```

 Programmatic PDF collection of instructions to draw characters and line segments to page with visual formatting information

h

. . .

242.04 729.96 l 144.48 729.96 l

46.91952 729.96 l

2 – 4 trillion PDFs in existence and rapidly growing

Challenge: Variety in Tables

	Net Prof	it
For each +/-1% chg in coal price	FY14F	FY15F
Indo Tambang	-0.40%	-1.80%
Adaro	-0.50%	-1.40%
Harum	-1.00%	-1.80%
For each +/-1% chg in volume		
Indo Tambang	-1.00%	-1.10%
Adaro	-1.10%	-1.10%
Harum	-1.40%	-1.20%

		Previously	Now (under proposed new notes)
-	FCCR (limitation on indebtedness)	3x	2.5x
-	Debt/EBITDA	4x	-
-	Bekasi Power debt basket	5mn	-
-	General debt basket	10mn	20mn
-	Permitted investment basket	-	7.5% of total assets
-	Interest reserve account establishment	Yes	No

Table with visual clues only

Rogers Communications Inc. Business Solutions - Historical Trend (unaudited)									
(In millions of dollars, except margins)	2014 Total	Q3'14	Q2'14	Q1'14	2013 Total	Q4'13	Q3'13	Q2'13	Q1'13
Operating revenue									
Next generation	200	69	67	64	213	63	54	52	44
Legacy	82	26	27	29	149	34	38	37	40
Service revenue	282	95	94	93	362	97	92	89	84
Equipment sales	3	1	1	1	12	1	1	1	9
Operating revenue	285	96	95	94	374	98	93	90	93
Operating expenses	(197)	(64)	(67)	(66)	(268)	(69)	(64)	(65)	(70) 23
Adjusted operating profit	88	32	28	28	106	29	29	25	23
Adjusted operating profit margin	30.9%	33.3%	29.5%	29.8%	28.3%	29.6%	31.2%	27.8%	24.7%
Additions to property, plant and equipment	93	28	39	-26	107	41	20	31	15

Complex tables – graphical lines can be misleading – is this 1, 2 or 3 tables ?

Table with graphic lines

Multi-row, multicolumn column headers

	Three mor	iths ended S 30	eptember	.ne month	ns ended Sep	tember 30
(\$ in thousands)	2015	2014	Change	2015	2014	Change
Salaries and benefits	171,586	153,103	12.1%	526,255	468,468	12.3%
Employee share purchase plan	22,101	19,809	11.6%	64,274	57,953	10.9%
Employee profit share	32,974	19,039	73.2%	92,096	45,388	102.9%
Share-based payment plans	4,125	4,548	(9.3%)	12,875	14,310	(10.0%)
Total	230,786	196,499	17.4%	695,500	586,119	18.7%
Presentation on the Condensed Conso	lidated State	ment of Earr	nings:			
Airport operations	30,916	28,145	9.8%	88,292	81,055	8.9%
Flight operations and navigational charges	67,549	59,891	12.8%	206,913	184,607	12.1%
Sales and distribution	19,436	17,512	11.0%	55,928	53,358	4.8%
Marketing, general and administration	27,058	22,818	18.6%	85,419	73,941	15.5%
Inflight	36,116	33,838	6.7%	116,294	101,921	14.1%
Maintenance	16,737	15,256	9.7%	50,558	45,849	10.3%
Employee profit share	32,974	19,039	73.2%	92,096	45,388	102.9%
Total	230,786	196,499	17.4%	695,500	586,119	18.7%

Nested row headers

It now meets the defined oriteria for benefit category D under the Health Event category for sold turnour cancers issee the Cancer body system on page 44).

As this recurrence of the melanoma is a Progressive Condition to the previous claim, we will pay the difference between the percentage payable for benefit category of the current claim and that of the previous claim.

In this case, the current benefit category of D provides a benefit of 20%, whilst the previous claim under benefit category E provided a benefit of 5%, therefore the amount payable is 15% of the infield Amount of Cover, which is \$150,000. This is the amount that will be paid, as it is not greater than the Maximum Amount Payable for benefit category E (200,000). For this claim, an amount of \$150,000 a paid to Michael. Following this claim, the Remaining Amount of Cover under the policy is reduced, resulting in the Maximum Amount Payable for each benefit category a Shown below.

Example: clalm 2 – Progressive Condition

18 months after Michael's initial diagnosis of early stage melanoma, despite treatment, it has recurred and has been

detected at a higher stage.

category	Type of cover	Amount Payable
AA	Death and terminal illness	\$1,300,000
A	Health Events	\$800,000
В	Health Events	\$650,000
С	Health Events	\$400,000
D	Health Events	\$200,000
E	Health Events	\$50,000
\$500,000 Claim 1 Claim 2	Initial Amount of Cove	Benefits paid
		.wer ¥.\$200,000

Table interleaved with text and charts

Event	Description	Comprehensive	Third Party Property Damage, Fire and Theft	Third Party Property Damage
Storm or flood (continued)	you had entered into a contract of sale to purchase your vehicle, or a contract to lease your vehicle, and took out your insurance with us for the vehicle prior to taking possession of the vehicle.	✓	Х	Х
Theft or attempted theft	We will cover your vehicle for loss or damage as a result of theft or attempted theft. We also cover theft of the keys to your vehicle and certain items in your car, refer to policy benefits on pages 12-20. The theft or attempted theft must be reported to the provided t	✓	✓	Х

Tables with Textual content

Outline

- Problem definition
 - Table Extraction
 - -Table Understanding
- Challenges
 - -Limited document format support for table structure
 - Table variety
- Takeaways

Decades of work across multiple communities

- Information Management Community
 - Focus on "(semi-)structured" setting
 - Usually given table border and structure (e.g., HTML tables)
 - **Goal:** Synthesize work across these communities for both table extraction and
- understanding in context of complex business and scientific documents
 - Interpret values in manuacia tables by rachtinging table neaders and linking with cell values

Others (AI, NLP)

- Leverage object detection (e.g., YOLO) to detect tables in images of document pages
- Multi-modal (NLP approaches augmented with position / format information)
- Focus on complex documents



Great Deal of Recent Interest in Table Processing

- Academic:
 - -VLDB 2018: 10-year Test of Time for WebTables
 - -16 papers on table extraction alone at ICDAR 2019
- Commercial: Table processing key component of many recent commercial offerings
 - Amazon Textract / Kendra
 - Google Document Al
 - Microsoft Form Recognizer
 - -IBM Watson Discovery



M. Cafarella et al. "Exploring the Power of Tables on the Web". VLDB '08



Table Extraction: A Sample of Prior Work

[CK93] S. Chandran and R. Kasturi. "Structural Recognition of Tabulated Data", ICDAR '93 [193] K. Itonori, "Table Structure Recognition Based on Textblock Arrangement and Ruled Line Position", ICDAR '93 [H95] J. Ha et al. "Recursive X-Y Cut Using Bounding Boxes of Connected Components", ICDAR '95 IKD981 T. Kieninger and A. Dengel, "The T-Recs Table Recognition and Analysis System", DAS '98 [H99] J. Hu et al. "Medium-Independent Table Detection", SPIE Doc. Recog. & Retr. '99 [H00a] J. C. Handley. "Table Analysis for Multi-line Cell Identification", SPIE Doc. Recog. & Retr. '00 [H00b] J. Hu et al. "Table Structure Recognition and Its Evaluation", SPIE Doc. Recog. & Retr. '00 [K01] B. Klein et al. "Three Approaches to Industrial Table Spotting", ICDAR '01 [KD01] T. Kieninger and A. Dengel. "Applying the T-Recs Table Recognition System to the Business Letter Domain", ICDAR '01 [C02] F. Cesarini et al. "Trainable Table Location in Document Images", ICPR '02 [P03] D. Pinto et al. "Table Extraction Using Conditional Random Fields". SIGIR '03 [H03] M. Hurst. "A Constraint-based Approach to Table Structure Derivation", ICDAR '03 [W04] Y. Wang et al. "Table Structure Understanding and Its Performance Evaluation", Pattern Recog. '04 IY05] B. Yildiz et al. "pdf2table: A Method to Extract Table Information from PDF Files". IICAI '05 [S06] A. C. e Silva et al. "Design of an End-to-end Method to Extract Information from Tables", IJDAR '06 [M06] S. Mandal et al. "A Simple and Effective Table Detection System from Document Images", IJDAR '06 [L07] Y. Liu et al. "TableSeer: Automatic Table Metadata Extraction and Searching in Digital Libraries", JCDL '07 [HB07] T. Hassan and R. Baumgartner. "Table Recognition and Understanding from PDF Files", ICDAR '07 IL08] Y. Liu et al. "Identifying Table Boundaries in Digital Documents via Sparse Line Detection", CIKM '08 [L09] Y. Liu et al. "Improving the Table Boundary Detection in PDFs by Fixing the Sequence Error of the Sparse Lines", ICDAR '09 [OR09] E. Oro and M. Ruffolo. "PDF-TREX: An Approach for Recognizing and Extracting Tables from PDF Documents", ICDAR '09 [SS10] F. Shafait and R. Smith. "Table Detection in Heterogeneous Documents", DAS '10 [D11] F. Deckert et al. "Table Content Understanding in smartFIX", ICDAR '11 IF11] J. Fang et al. "A Table Detection Method for Multipage PDF Documents via Visual Separators and Tabular Structures", ICDAR '11 [B12] E. Bart. "Parsing Tables by Probabilistic Modeling of Perceptual Cues", DAS '12 [CL12] J. Chen and D. Lopresti, "Model-Based Tabular Structure Detection and Recognition in Noisy Handwritten Documents", ICFHR '12 [G12] Göbel et al. "A Methodology for Evaluating Algorithms for Table Understanding in PDF Documents". DocEng '12 [K13] T. Kasar et al. "Learning to Detect Tables in Scanned Document Images Using Line Information", ICDAR '13 [K14] S. Klampfl et al. "A Comparison of Two Unsupervised Table Recognition Methods from Digital Scientific Articles". D-I ib Mag. '14 [B14] A. B [R15] F [FK15] ICDAR 2019 has ≥ 16 new papers on table extraction! [T16]] [G17] [S17] § [S18a] [S18b] ICDAR = International Conference on Document Analysis and Recognition [Q19] [C19] 2 [G19] G [K19] Khan et al. "Table Structure Extraction with Bi-directional Gated Recurrent Unit Networks" ICDAR 2019 [L19] M. Li et al. "TableBank; Table Benchmark for Image-based Table Detection and Recognition", LREC, 2020 [M19] S. Mujumdar et al. "Simultaneous Optimisation of Image Quality Improvement and Text Content Extraction from Scanned Documents", ICDAR '19 [HL19] J. Hoffswell and Z. Liu. "Interactive Repair of Tables Extracted from PDF Documents on Mobile Devices". CHI '19 IT191 Tensmever et al. "Deep splitting and merging for table structure decomposition" ICDAR 2019 IL201 Li et al. "TableBank; Table Benchmark for Image-based Table Detection and Recognition", LREC '20 [P20a] D. Prasad et al. "CascadeTabNet: An Approach for End to End Table Detection and Structure Recognition from Image-Based Documents", In CVPR Workshops '20 [P20b] Paliwal et al. "TableNet: Deep Learning Model for End-to-end Table Detection and Tabular Data Extraction from Scanned Document Images". arXiv 2020 IV201 Le Vine et al. Identifying Table Structure in Documents using Conditional Generative Adversarial Networks, arXiv 2020

[Z20a] Zheng et al. "Global Table Extractor (GTE): A Framework for Joint Table Identification and Cell Structure Recognition Using Visual Context", arXiv 2020

[Z20b] Zhong et al. "Image-based table recognition: data, model, and evaluation", ECCV 2020

Table Understanding & Applications: A Sample of Prior Work

- J. Fang et al. "Table Header Detection and Classification". AAAI '12 S. Seth et al. "Seamenting tables via indexing of value cells by table headers". ICDAR '13 D. Pinto et al. "Table Extraction Using Conditional Random Fields". SIGIR '03 R. Rastan et al. "TEXUS: A unified framework for extracting and understanding tables in PDF documents". Information Processing & Management S. Seth et al. "Segmenting tables via indexing of value cells by table headers". ICDAR '13 Vrchitecture". AAAI '17 سو K. Nishida tanding the Semantic Struct with a Hvbrid Deep♪ readsheet Data via Z. Chep fort Extraction"/ DM & IR DB Document nina Method for ntics of Fin rching in Yind natic Table N **Analysis** UIST ' KDD. SIGIR. ment readii SIGMOD, VLDB, es for web CIKM. WWW. CIDR, WebDB, Leh ICDAR, JCDL, Corpus of W nd Cont Ebel lden Web Tab Approach\ S. Bal a Web Tables in∖ H. Sun e rch for Ounction Ans P. Pasupat etg on Som Sucture V. Zhong et al. "Seg2SQL: Q a Reinforcemer **NLP** \ueries from N₽ HCI Al S. Bharadwai et al. "Creati ge-scale D e Bases". Y. Sekhavat et al. "Knowl ing Tabu ACL. EMNLP. O. Lehmberg et al. "Extel leb Cha ver a M UIST. CHI. ... AAAI. IJCAI. ... Scient Y. Hou et al. "Identificatid ion Me h". ACL '19 SemEval, ... G. Singh et al. "QTLTable QTL Ta MC Biol N. Wang et al. "Statement Findina wλ M. Cafarella et al. "WebTable of tables on Z. Chen et al. "Spreadsheet Pro-With Rule-ass<u>iste</u>ช √IKM '17 A. Das Sarma et al. "Finding Related Tables". SIGMOD '12 H. Dong et al. "TableSense: Spreadsheet Table Detection with Convolutional Neural Networks". AAAI '19 P. Yin et al. "Neural Enquirer: Learning to Query Tables in Natural Language", IJCAI '16 Z. Yar E. Cre
- M. Ca

Substantial work distributed across multiple sub-communities

- J. Hoffswell et al. Interactive Repair of Taples Extracted from PDF Documents on Mobile Devices . CHI 19
- M. Yakout et al. "InfoGather: Entity Augmentation and Attribute Discovery by Holistic Matching with Web Tables", SIGMOD '12
- T. Müller et al. "Answering Conversational Questions on Structured Data without Logical Forms". EMNLP '19
- J. Herzig et al. "TAPAS: Weakly Supervised Table Parsing via Pre-training", ACL 2020

Takeaways

- Widely used document formats have limited table representation
 - Limits of document format: Image, PDF
 - How documents authored: Word, Excel
- Wide variety of tables makes general model construction difficult
 - Tables are form of art
 - Diverse visual encoding of semantic information
 - Different domains
- Once tables are identified and interpretable, many downstream applications can be enabled